OPEN ACCESS POLICY



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- (1) It is a condition of all research and research-related grants funded by DMT that the Trust is informed when a manuscript is accepted for publication and that the Trust's support is appropriately acknowledged[§]. A copy of the final manuscript of all peer-reviewed papers supported in whole or in part by a DMT grant must be deposited in an open access archive such as PubMed Central (PMC) or Europe PMC, to be made freely available as soon as possible and within six months of publication at the latest. Grant holders are also required to link publications to their DMT grant in Europe PMC
- (2) Publications resulting from DMT-funded research may appear via the gold^[1] or green^[2] open access (OA) route.
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- (4) Where required to ensure open access, DMT will provide the names and contact details of grant holders to third parties in connection with the administration of the Europe PMC database and website, and they may contact grant holders directly for such purposes.

notes:

- [1] Gold OA allows scholarly articles to be available immediately upon publication at the publisher's site. Full OA journals can either charge APCs to obtain revenue, can be subscription journals making the electronic version only OA, or can be electronic only journals which are free both for authors and readers and the resources for publication are provided by other means. Hybrid OA articles can also be seen as a form of gold OA.
- [2] **Green OA** is a complement to gold OA. A version of the manuscript, often not the final published version of the article, is made freely available. The term 'green OA' is often used as a synonym for self-archived OA, though in some cases the publisher archives the green copy.
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Definitions from: Developing an Effective Market for Open Access Article Processing Charges Björk B & Solomon D; March 2014

[§] See GUIDELINES FOR AUTHORS: acknowledgement of DMT support in publications.pdf

July 2014

- (5) Most journals will deposit the article on behalf of the authors directly into PMC or Europe PMC, to become available on publication, or after an embargo period. In some cases, journals will not deposit the article, but will allow the authors to deposit a copy themselves via an author manuscript submission system. Information on author submission to Europe PMC is available through: http://www.europepmc.org
- (6) All DMT grant holders submitting manuscripts to journals should first check whether the publisher supports open access and how they can comply with paragraph (1) above. Useful information on which publishers are compliant with DMT's open access policy can be found on the SHERPA/RoMEO website at http://www.sherpa.ac.uk/romeo.php
- (7) Grant holders may apply to DMT for a contribution towards the valid costs of open access fees levied by publishers who support the open access model, but demand payment to allow manuscripts to become available in Europe PMC within six months of the publisher's official date of final publication. The funding provided will be capped on a sliding scale dependent on the size of the grant, i.e.:

 Grants up to £50,000
 up to £1,000

 Grants from £50,000 to £100,000
 up to £2,000

 Grants over £100,000
 up to £3,000

Any fees over and above the relevant amount above will not be paid by DMT.

- (8) In order to access this funding, the grant holder will be required to provide:
 - (i) evidence that the publication has been accepted by a publisher; and
 - (ii) an invoice (or similar) from the publisher confirming the Article Processing Charge (APC) payable.

Fees payable in currencies other than sterling will require proof from the host institution of the amount in sterling paid to the publisher.

- (9) Where the research has been supported by more than one funder, DMT will only pay a percentage of the APC in line with the percentage of the funds that have been provided by DMT to support the research.
- (10) More general information and guidance to authors in respect of open access publication can be found on a website run by another member of the Europe PMC funders' consortium, the Wellcome Trust, at http://www.wellcome.ac.uk/About-us/Policy/Spotlight-issues/Open-access/Guides/
- (11) Further updates will follow as necessary and will be made available on the DMT website at www.dunhillmedical.org.uk

Specific enquiries regarding DMT's open access policy should be emailed to: admin@dunhillmedical.org.uk