

ZonMw Open Access policy

As a funding body, ZonMw wants to actively stimulate and accelerate the route to 100% Open Access. ZonMw requires researchers to make all publications resulting from scientific research, that is wholly or partially subsidised by ZonMw, available via Open Access (see General Terms and Conditions Governing Grants articles 18 and 22). In mid-2019 ZonMw decided to support [Plan S](#). Supporting this plan meant a further refining of the Open Access policy according to the principles of Plan S in 2020, and a full implementation of Plan S from January 1st 2021 onwards. Below, you will find more information about Plan S and the ZonMw Open Access policy for calls published both before and after January 2021.

What is Plan S?

[Plan S](#), an international initiative for Open Access publishing, has been compiled by [cOAlition S](#), a collaboration of international funding bodies that aims to achieve 100% Open Access. ZonMw has applied the implementation guidelines to her Open Access policy.

The most important requirements of Plan S are:

- Free access to the publication
- Direct open access: no embargo period between the date of publication in the journal and free accessibility online
- Publication under an open licence (preferably unlimited use and reuse)
- Retention of copyrights

Open Access can be achieved under Plan S by:

- **Full golden route:** The direct open access publication of the article in an Open Access journal or platform that is registered in the [Directory of Open Access Journals](#).
- **Green route:** The immediate deposition, without an embargo, of a copy of the Version of Record or the Author Accepted Manuscript in an Open Access repository that is registered in the [Directory of Open Access Repositories](#).
- **Hybrid route with “transformative agreement”:** The publication in a hybrid journal that is part of a transformative agreement concluded between VSNU and/or the UKB and a publisher. A series of such agreements has been concluded with large publishers so that Dutch researchers in certain instances can publish in Open Access form free of charge or against a reduced rate (please verify if this is the case for your organisation). An [Open Access journal browser](#) has been developed to provide more information about the journals with which a transformative agreement has been concluded.

ZonMw Open Access policy for calls published from January 1st 2021 onwards and before January 1st 2021.

Conditions	From January 1 st 2021 <u>onwards</u>	<u>Before</u> January 1 st 2021
ZonMw accepts different Open Access routes, with a publication embargo of:	0 months. Publications must be made Open Access available directly (0 months embargo).	Maximum of 6 months. a reasonable publication embargo should be applied (“as fast as possible”), up to a maximum of 6 months (see also the Taverne Amendment and the

		initiative: “You share, we take care!”
The article must be published using the Creative Commons Attribution (CC BY 4.0 license).	Requirement. If the author deems it necessary to deviate from the CC BY 4.0 license, the alternative choice must be explained and approved.	Strong preference , but not obligated.
ZonMw always requires that at least a copy of the Version of Record or the Author Accepted Manuscript is deposited in an Open Access Repository that is registered in the Directory of Open Access Repositories . This is to safeguard the preservation of the publication in the long term.	Requirement. ZonMw requires that at least a copy of the manuscript is registered in Europe Pubmed Central (through self-archiving or via the publisher). Make an account here to submit a manuscript. In addition, the manuscript can be deposited in other relevant Open Access repositories.	Requirement. It is required that the manuscript is deposited in an Open Access repository. ZonMw encourages that at least a copy of the manuscript is registered in Europe Pubmed Central (through self-archiving or via the publisher). Make an account here to submit a manuscript.
Authors or their institutes are required to retain copyright to their publications.	Requirement.	Requirement.
Besides journal articles, ZonMw also encourages making other types of scientific publication accessible in Open Access form, such as monographs, books, conference proceedings and grey literature.	Strong preference.	Strong preference.

Please note: In specific cases, ZonMw makes **financial support** for Open Access publication possible. For more information see “*Financial support of researchers*”.

To all ZonMw projects the following applies:

Registration of all publications

Project leaders should register their publications in ProjectNet. When publications are registered, at least the following information should be stated: publication date, persistent identifier, licence.

Acknowledging the grant in publications

For each publication or presentation, project leaders should acknowledge the grant from ZonMw according to the following convention:

Dutch: “Gefinancierd door ZonMw <projectnummer>.”

English: “Funded by The Netherlands Organisation for Health Research and Development (ZonMw): <project number>.”

Enforcement and monitoring

The requirement to publish Open Access is an integral part of the General Terms and Conditions Governing Grants of ZonMw. Compliance with the Open Access policy will be monitored by ZonMw.

Financial support of researchers

ZonMw offers project leaders a possibility of financial support for Open Access publishing if they publish according to the full golden route.

- *For ZonMw projects already in progress* it is possible, in specific cases, to request a reimbursement for Open Access publication costs. As this regulation is retrospective, such a reimbursement is only possible if funds allow this. Possibilities can be discussed in consultation with both the ZonMw Open Access team (openscience@zonmw.nl) and the programme team of the project concerned.
- *For new ZonMw funding rounds* ZonMw offers applicants the option to include Open Access publication costs in the project budget.

Conditions financial support:

- Publication according to the full golden route (for a definition see ‘What is Plan S?’)
- The Open Access Journal platform should be registered in the [Directory of Open Access Journals](#).
- Open Access publication costs are reimbursed up to a maximum amount of €5000 per project. For new projects, this should be specified in the project budget under the heading “Open Access”.
- The earmarked amount for publication costs will be made available as soon as ZonMw has received the journal name and the official invoice (proof of payment Article Processing Charges) by means of an email to <programme e-mail> and openscience@zonmw.nl. The maximum period in which costs can be claimed against this amount is 12 months after the official end date of the project.
- Financial support of Open Access publication costs is only possible in so far this is permitted on the basis of European and other legislation. For example, this is not possible for projects in a funding round that was published under the General Block Exemption Regulation.
- Costs for publications that fall under the existing transformative agreements or can be covered by other possibilities within the own institution (such as institutional Open Access budgets) will not be reimbursed by ZonMw. An [Open Access journal browser](#) has been developed to provide more information about the journals with which a transformative agreement has been concluded. More news about the negotiations can be found on the website openaccess.nl, which is maintained by the Association of Universities in the Netherlands (VSNU), Dutch Research Council (NWO), SURF and UKB. Open Access publishing via other routes is also permitted, but ZonMw makes no funding available for this.

For questions about the ZonMw Open Access policy and possibilities, please contact the Open Access team via openscience@zonmw.nl.